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Case No.: 58623US002

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: ANDERSON, MARK T.

Application No.: 10/728490

Group Art Unit: 1711

Filed: December 5, 2003

Examiner: Unknown

Title: PROCESS FOR PRODUCING PHOTONIC CRYSTALS

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop: Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

## CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR § 1.8(a)]

I hereby certify that this correspondence is being:

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JUL 06 2005

Date

  
Signed by Lydia M. Cahill

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

Copies of any cited foreign patents, foreign publications, non-patent literature documents, and any pending U.S. applications filed before June 30, 2003, are enclosed. Copies of any pending U.S. applications filed after June 30, 2003 that can be accessed on the USPTO's IFW system are not enclosed as per USPTO Waiver dated September 21, 2004. Copies of any U.S. patents and published U.S. patent applications are not enclosed.

If a first Office Action on the merits has been mailed prior to the mailing date of this document, please charge the fee for consideration of an Information Disclosure Statement set forth in 37 CFR § 1.17(p), and if necessary, please charge any additional fees, or credit any overpayment to Deposit Account No. 13-3723.

Respectfully submitted,

July 1, 2005  
Date

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Office of Intellectual Property Counsel  
3M Innovative Properties Company  
Facsimile No.: 651-736-3833

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Filing Date

December 5, 2003

First Named Inventor

Anderson, Mark T.

Art Unit

1711

Examiner Name

Unknown

Attorney Case Number

58623US002

(Use as many sheets as necessary)

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## U.S. Patent Documents

Exam. Init.*	Cite No.	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number-(Kind Code if Known)			
	A1	US- 5526449	06/11/1996	Meade et al.	
	A2	US- 2002/00062782	05/30/2002	Norris et al.	
	A3	US- 2002/0160298	10/31/2002	Minami et al.	

## Foreign Patent Documents

Exam. Init.*	Cite No.	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation (Check if yes)
		Ctry. Code	Number-KindCode (If known)				
	B1	PCT	WO 01/18283	03/15/2001			
	B2	PCT	WO 01/55484	08/02/2001			
	B3	EP	1 028 328	08/16/2000			
	B4	JP	1999345758	12/14/1999			Machine Translation & Abstract
	B5	JP	2000009955	01/14/2000			Machine Translation & Abstract
	B6	JP	2000275859	10/06/2000			Machine Translation & Abstract
	B7	JP	2003057422	02/26/2003			Machine Translation & Abstract
	B8	JP	2003084158	03/19/2003			Machine Translation & Abstract

## OTHER DOCUMENTS

Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation (Check if yes)
	C1	Cai et al., Optics Letters, Vol. 27, No. 11, pp. 900-902, June 1, 2002	
	C2	Jiang et al., Optics Comm., Vol. 185, pp. 19-24, 2000.	
	C3	Lee et al., Adv. Mater., Vol. 14, No. 4, pp. 271-274, 2002	
	C4	Shoji et al., Applied Physics Letters, Vol. 76, No. 19, pp. 2668-2670	
	C5	Taton et al., Nature, Vol. 416, pp. 685-686, April 18, 2002	
	C6	Viasov et al., Nature, Vol. 414, pp. 289-293, November 15, 2001	

\*Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Information Disclosure Statement)

<b>Substitute for form 1449A/PTO (modified)</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)  Page 2 of 2	<b>Application Number</b>	<b>10/728490</b>
	<b>Filing Date</b>	<b>December 5, 2003</b>
	<b>First Named Inventor</b>	<b>Anderson, Mark T.</b>
	<b>Art Unit</b>	<b>1711</b>
	<b>Examiner Name</b>	<b>Unknown</b>
	<b>Attorney Case Number</b>	<b>58623US002</b>

<b>OTHER DOCUMENTS</b>			
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	C7	MRS Bulletin, Vol. 26, No. 8, pp.608-610 and pp. 618-641, August 2001	

<b>*Examiner:</b>	<b>Date Considered:</b>
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